



NOAA Chemical Modeling Workshop

Global Aerosol Forecasting and Data Assimilation in GFS/GSI

Overview and Progress*

**Sarah Lu, Ho-Chun Huang, Jeff McQueen,
John Derber, Russ Treadon, and Yu-Tai Hou (NCEP)
Mian Chin and Arlindo da Silva (GSFC)
October 10, 2007**

**originally presented at SPARC Data Assimilation
and IPY Workshop, Sept 4-7, 2007*



The long-term goal

Where we stand now

Ongoing and near-future activities

Global model with explicit ozone-aerosol chemistry

Parameterized ozone chemistry and climatological aerosol scheme

Incorporate prognostic aerosols in global model

Radiance assimilation providing meteorological and chemical analyses

SBUV/2 v6 ozone assimilation; background aerosols assumed

Assimilation of multiple ozone products; aerosol module added to radiative transfer model

Provide meteorological and chemical LBCs for the regional system

Improved chemical LBCs are needed for regional AQ application

Off-line and Lagrangian chemistry modeling



NCEP Global Aerosol Forecasting and Data Assimilation

- **OVERVIEW**

- Create an integrated operational system for forecasting and monitoring the atmospheric dynamics and chemistry

- **OBJECTIVES**

- Generate an optimal (accurate and affordable) description of the global distribution of atmospheric aerosols
- Provide improved air-chemistry forecasts, through improved use of satellite data
- Respond to the WMO RSMC request for global dust predictions and to NWS/HQ and EPA request for improved aerosol LBCs for CMAQ



NCEP Global Aerosol Forecasting and Data Assimilation (-continued)

- **APPROACH**

- Incorporate prognostic aerosols (NASA GOCART) in NCEP Global Forecast System (GFS)
 - Off-line non-interactive
 - In-line interactive
- Assimilate aerosol measurements (product and then radiance) in NCEP Gridpoint Statistical Interpolation system (GSI)
- Leverage common modeling framework and shared software development
 - Earth Systems Modeling Framework (**ESMF**)
 - Joint Center for Satellite Data Assimilation (**JCSDA**)



Global Forecast System (GFS)

Global spectral model for operational medium range forecasts

- **RESOLUTION**

- T382 horizontal resolution (~ 37 km)
- 64 vertical levels (from surface to 0.2 mb)

- **MODEL PHYSICS AND DYNAMICS**

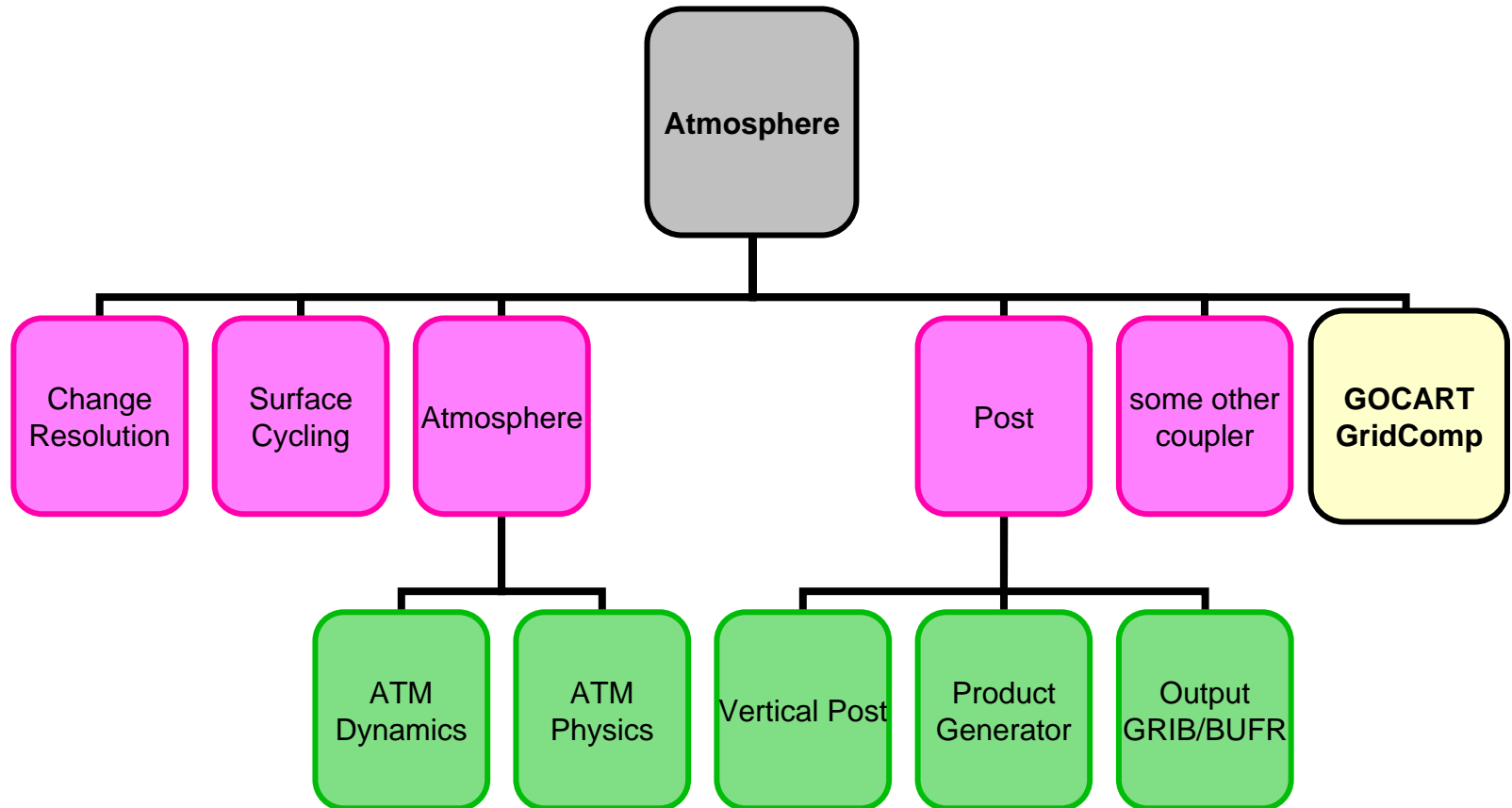
- Vertical coordinate changed from sigma to hybrid sigma-pressure
- Non-local vertical diffusion
- Simplified Arakawa-Schubert convection scheme
- MD Chou radiation scheme
- Explicit cloud microphysics
- Noah LSM (4 soil layers: 10, 40, 100, 200 cm depth)

- **INITIAL CONDITIONS** (both atmosphere and land states)

- NCEP Global Data Assimilation System (GDAS)

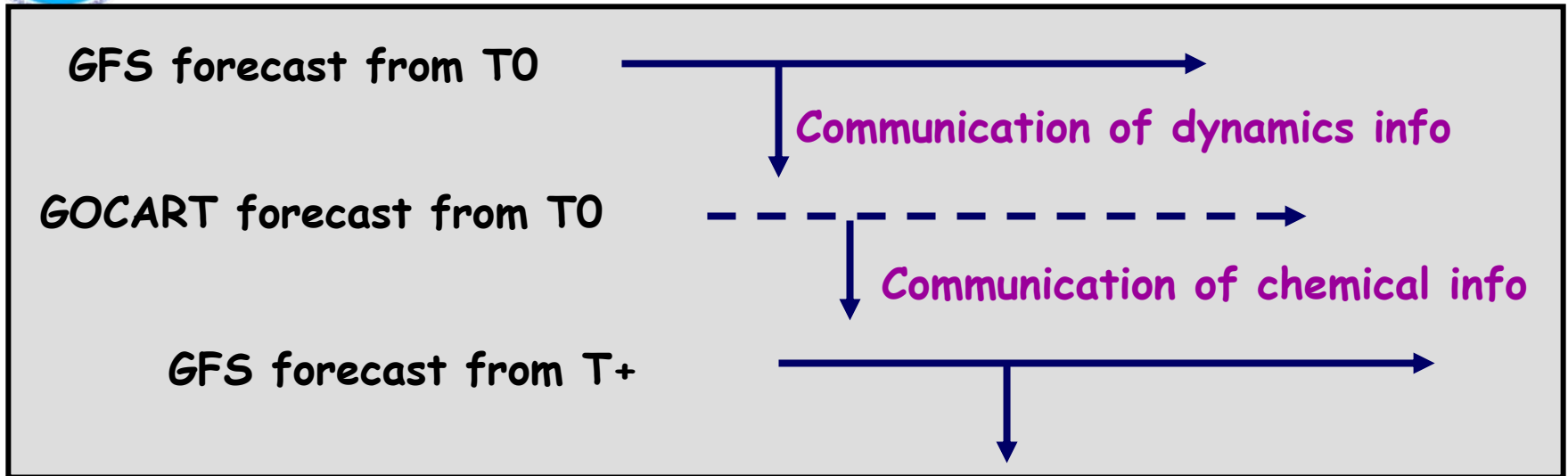


Earth System Modeling Framework (ESMF) Component Framework





Prototype aerosol forecasting system



- Gridded GFS (**under development**):
 - Determine aerosol transport processes (advection, boundary layer turbulent mixing and convective transport)
 - Export dynamical info (surface wind, humidity, and canopy conductance...etc) to GOCART grid component
- GOCART grid component:
 - Determine aerosol source, sink, transformation
 - Export updated distributions of aerosol species to gridded GFS

GEOS-5 Aerosol Module

Sources

Chemistry

Sinks

Dust

Depends on surface winds

Topographic Source
5 size classes 0.1 - 10 μm radius

Sea salt

Improved representation of sub-micron particles
5 size classes 0.03 - 10 μm dry radius

Swelling by RH

Sedimentation
Dry deposition
Wet removal

DMS

Based on measured sea surface concentration

Oxidation to SO_2

Chemistry

SO_2

Anthropogenic
Biomass burning
Oxidation of DMS w/ OH (daytime) and NO_3 (nighttime)

Oxidation to SO_4

Chemistry
Dry deposition
Wet removal

SO_4

Anthropogenic
Oxidation of SO_2 w/ OH (daytime) and Aqueous reaction with H_2O_2

Dry deposition
Wet removal

BC/OC

Biomass burning
Biofuel
Anthropogenic
Biogenic OC

Fractionation of Hydrophobic & Hydrophilic Components

Dry deposition
Wet removal (hydrophilic only)



Gridpoint Statistical Interpolation (GSI)

Global/regional analysis system for operational weather forecasts

- **NCEP 3DVAR assimilation system**

- Implemented with WRF-NMM into the NAM system in June, 2006
- Implemented for replacement of SSI in the GFS system in May, 2007

- **SCIENTIFIC ADVANCES**

- Grid point definition of background errors
- Inclusion of new types of data (e.g., AIRS radiance, COSMIC GPS)
- Advanced data assimilation techniques (e.g., improved balance constraints)
- New analysis variables (e.g., SST)

- **CODE DEVELOPMENT**

- GSFC/GMAO collaboration through JCSDA
- Evolution to ESMF



Community Radiative Transfer Model (CRTM)

- The Community Radiative Transfer Model is developed via joint effort of JCSDA (from contributions from NOAA, NASA, and DOD), research institutions and private companies.
- The CRTM is composed of advanced surface emission and reflection models, fast gaseous absorption models, aerosol and cloud optical modules.
- It is used in **GSI** for global and regional data assimilation as well as applied in **GOES-R** studies.
- The aerosol module contains the mass extinction, scattering coefficients and detailed phase function for dust, sea salt, organic carbon, black carbon, and sulfate with various effective particle sizes.
- The CRTM fully supports aerosol radiance assimilation for the GFS-GOCART system.



Aerosol Data Assimilation

- Goal: Configure an aerosol assimilation system with the **flexibility** to incorporate assimilation techniques of varying complexity and the **extensibility** for the inclusion of additional current or future aerosol instruments
- Planned phased deployment:
 - Incorporation of GOCART prognostic aerosols into GFS
 - Refinement of the GFS-GOCART modeling system
 - Benchmark studies of global aerosol simulations
 - Incorporation of aerosol module into CRTM
 - Estimations of background errors (using NMC method)
 - GSI modification for aerosol assimilation
 - Univariate assimilation of MODIS aerosol retrievals
 - Multi-variate assimilation including aerosol sensitive channels (jointly assimilation of MODIS/OMI radiance)



Data Availability

- NCEP is receiving MODIS level 1 product and OMI AI in real time
- GOES column integrated AOD product is available
- Potential data sources
 - OMI-like aerosol retrievals produced by the GOME-2, UV+ VIS
 - AIRS (Advanced Infrared Radiation Sounder), IR
 - MLS (Microwave Limb Sounder), stratospheric aerosol, MW
 - Future Sensors: GOES-R ABI, NPOESS VIIRS, CrIS, OMPS
- Challenges:
 - Inability of satellite retrievals to **identify aerosol specification and vertical structure**



Conclusions

- NCEP recently initializes the efforts to develop [global aerosol forecasting and assimilation capability](#) in GFS/GSI via the NCEP-GSFC collaborations.
- This project will enable the use of [NASA earth science results](#) (GOCART model and MODIS/OMI measurements) to enhance [NOAA environmental forecasting capability](#).
- [Logistics for research-to-operation](#)
 - Resources:
 - R& D efforts needed to add new analysis variables in GSI
 - The number of transported tracers increases from 3 to 21
 - Communication path (NRT)
 - Data format (BUFR)
 - Operation transition protocol



Thank You



Back-ups



GFS Sensitivity Study

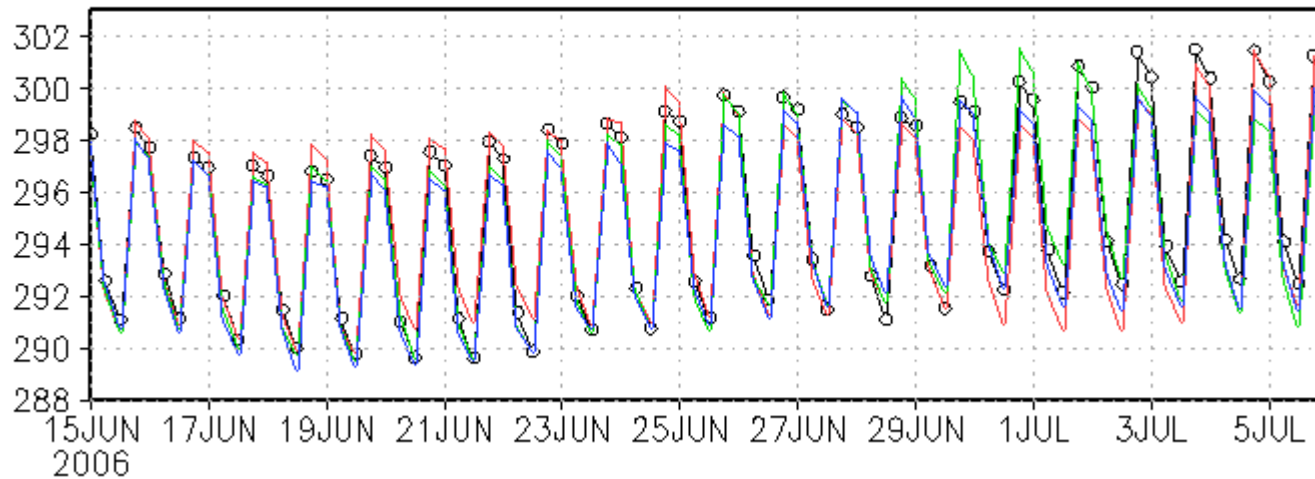
- T126 L64, hybrid coordinate
- AMIP experiment
 - Initialized from 2006-06-01 00Z GDAS analysis
 - 11-week integration (edate = 2006-08-15)
- MRF experiment
 - Initialized from 00Z analysis for the 2006-06 period
 - 5-day forecasts
- Aerosol scheme configuration
 - CTRL: OPAC climatological scheme
 - CLIM: GOCART climatological scheme
 - ANAL: Diagnostic aerosols updated daily
 - PROG: Aerosols as passive tracers updated every 6-hr
- The CLIM run uses GEOS3-GOCART monthly climatology (M. Chin); the ANAL and PROG runs use GEOS4-GOCART 6-hr aerosol analysis (A. da Silva).
- A fully cycled GDAS experiment is in progress to assess the impact of aerosols on GFS forecasts



Time series of Tsfc

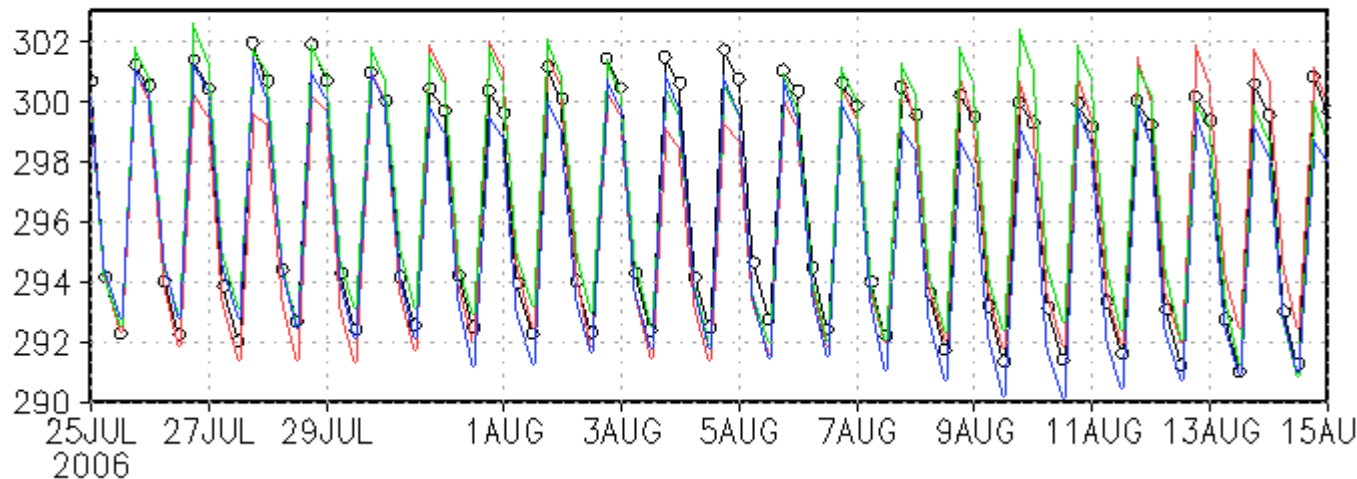
Tsfc (US; AMIP)

Ctrl, **Clim**, **Anal**, **Prog**



Wk 3-5

Tsfc (US)



Wk 9-11



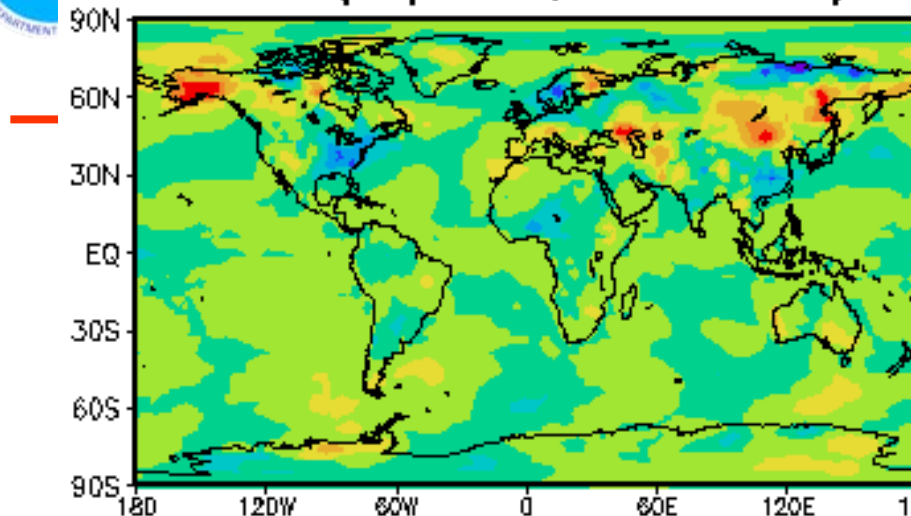
Preliminary CFS results

- **NCEP Climate Forecast System (CFS)**
 - AGCM : GFS
 - Ocean model: GFDL MOM3
- **CFS experiments**
 - 3-year runs (from 2002/01 to 2004/12)
 - Resolution: T126 L64
 - Output every 6 hr
 - Two runs:
 - Ctr: OPAC-based aerosol climatology ($5^{\circ} \times 5^{\circ}$)
 - Exp: GOCART aerosol fields ($2.5^{\circ} \times 2^{\circ}$; 30 lvl)
- The global impact and regional influence due to different background aerosol loading are examined.

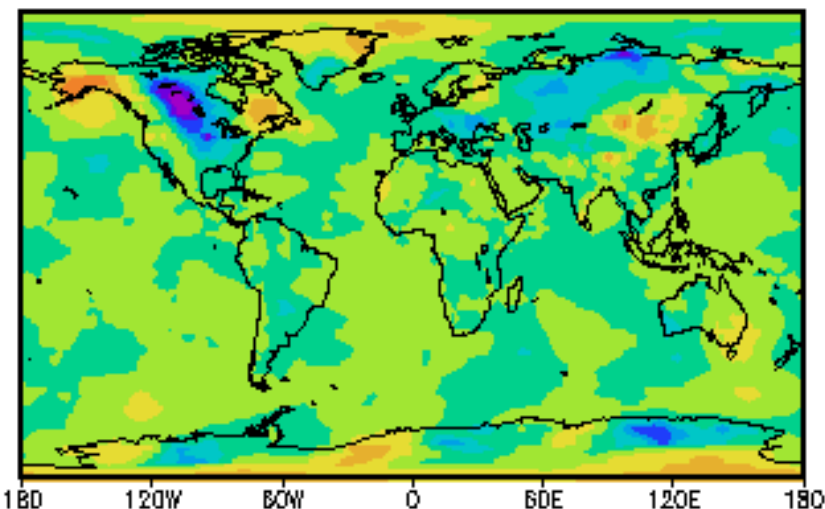


T2m Difference

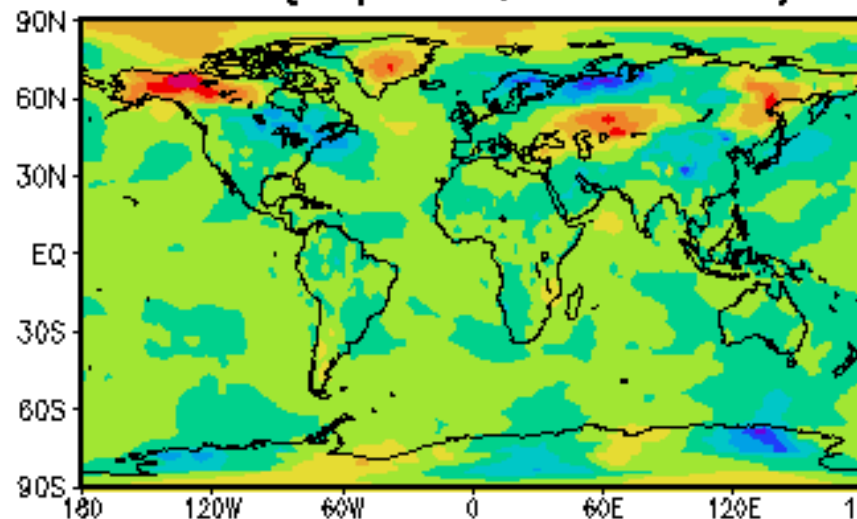
T2m (Exp-Ctr; Jan 2004)



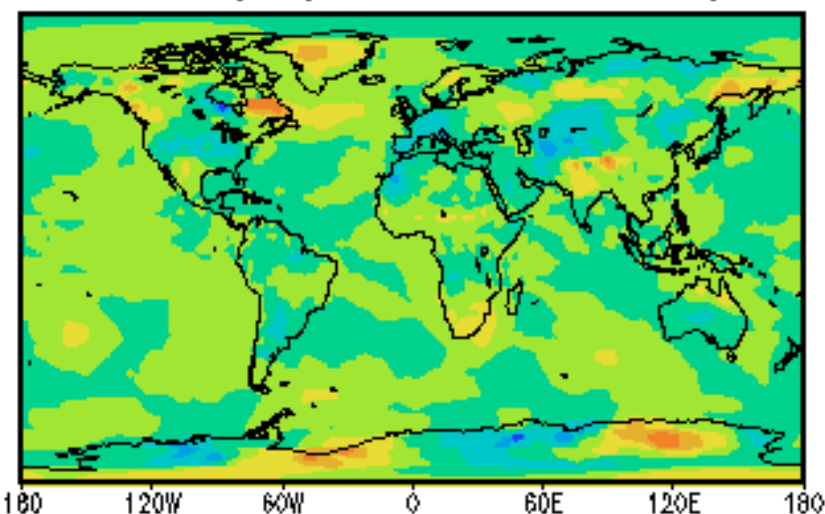
T2m (Exp-Ctr; Apr 2004)



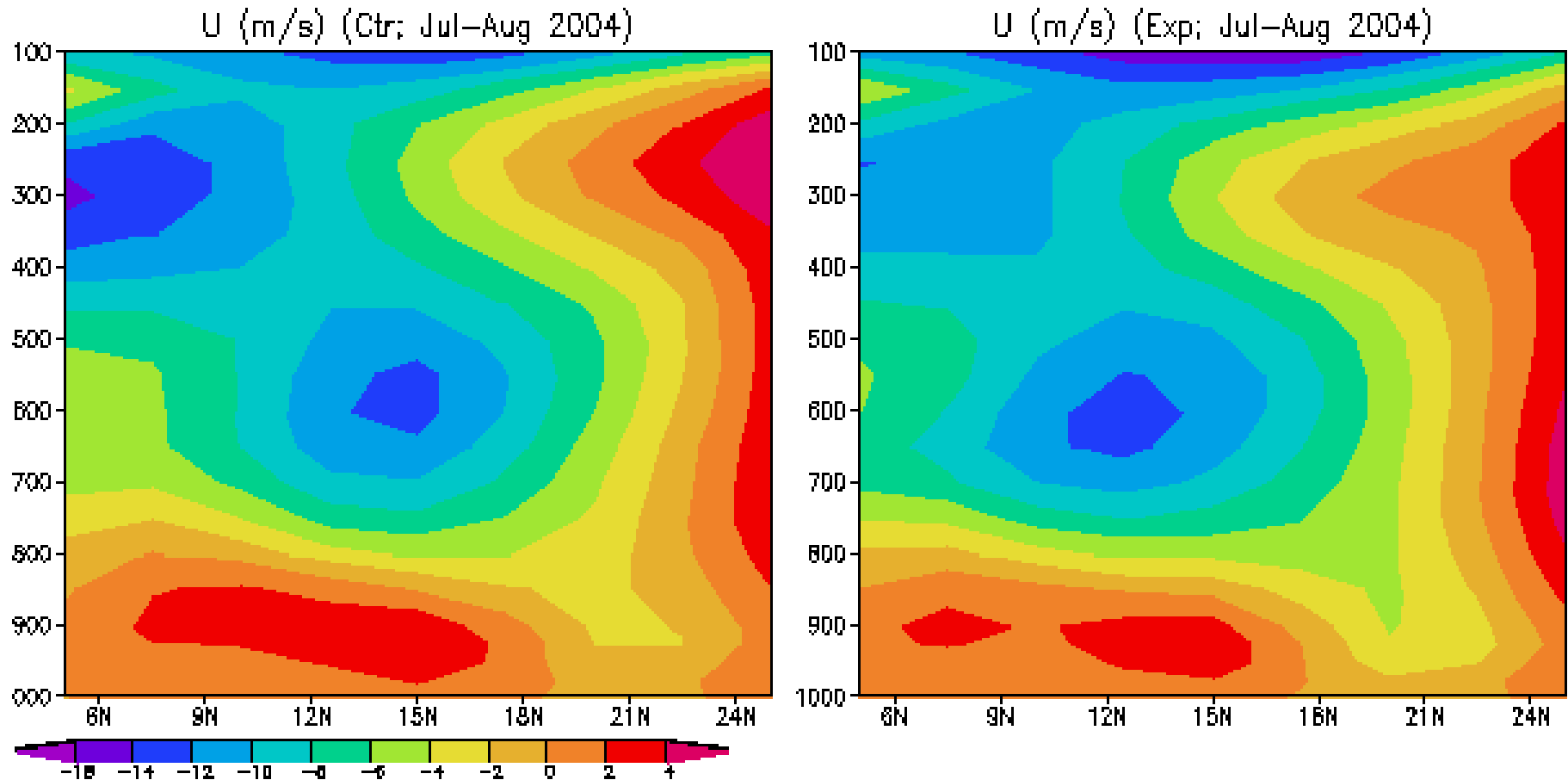
T2m (Exp-Ctr; Oct 2004)



T2m (Exp-Ctr; Jul 2004)



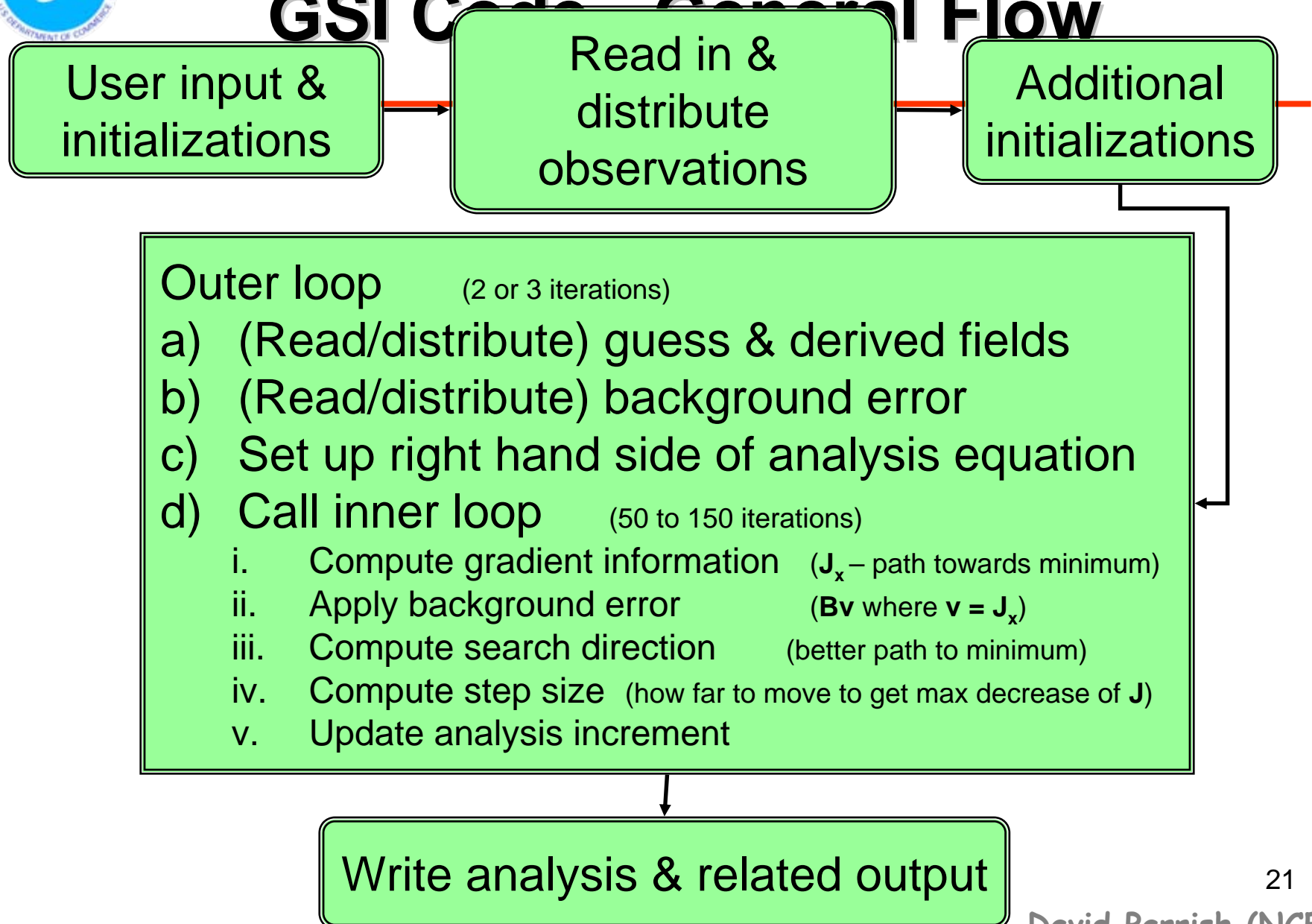
U-wind Cross Section at 10W



The intensity and location of African Easterly Jet are affected by background aerosol loading (via direct radiative effect)

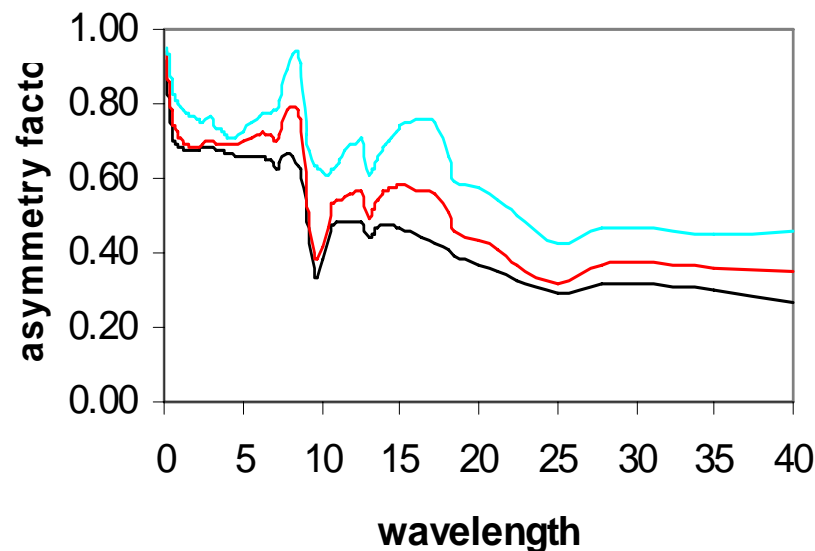
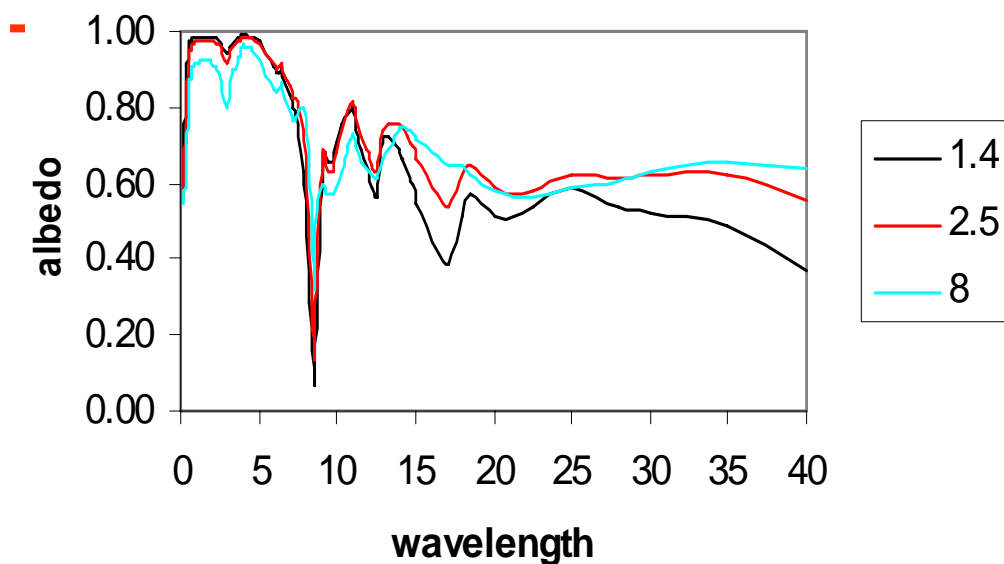
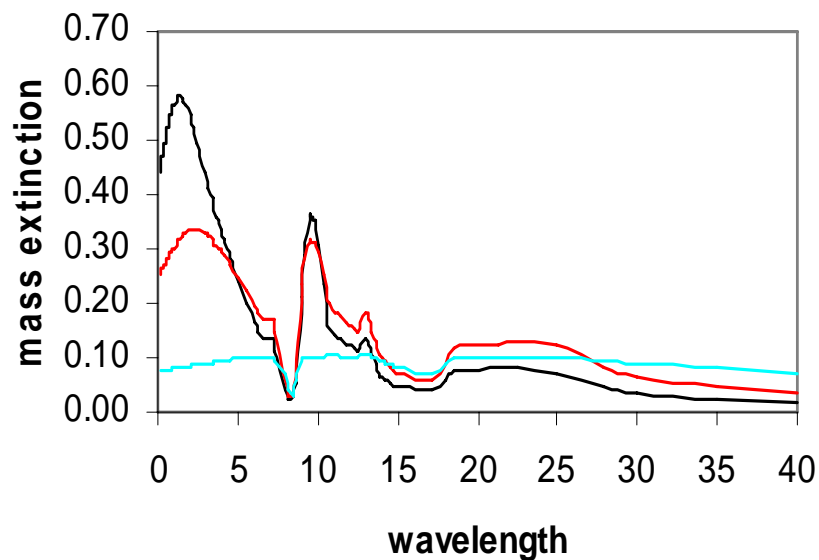


GSI Code General Flow

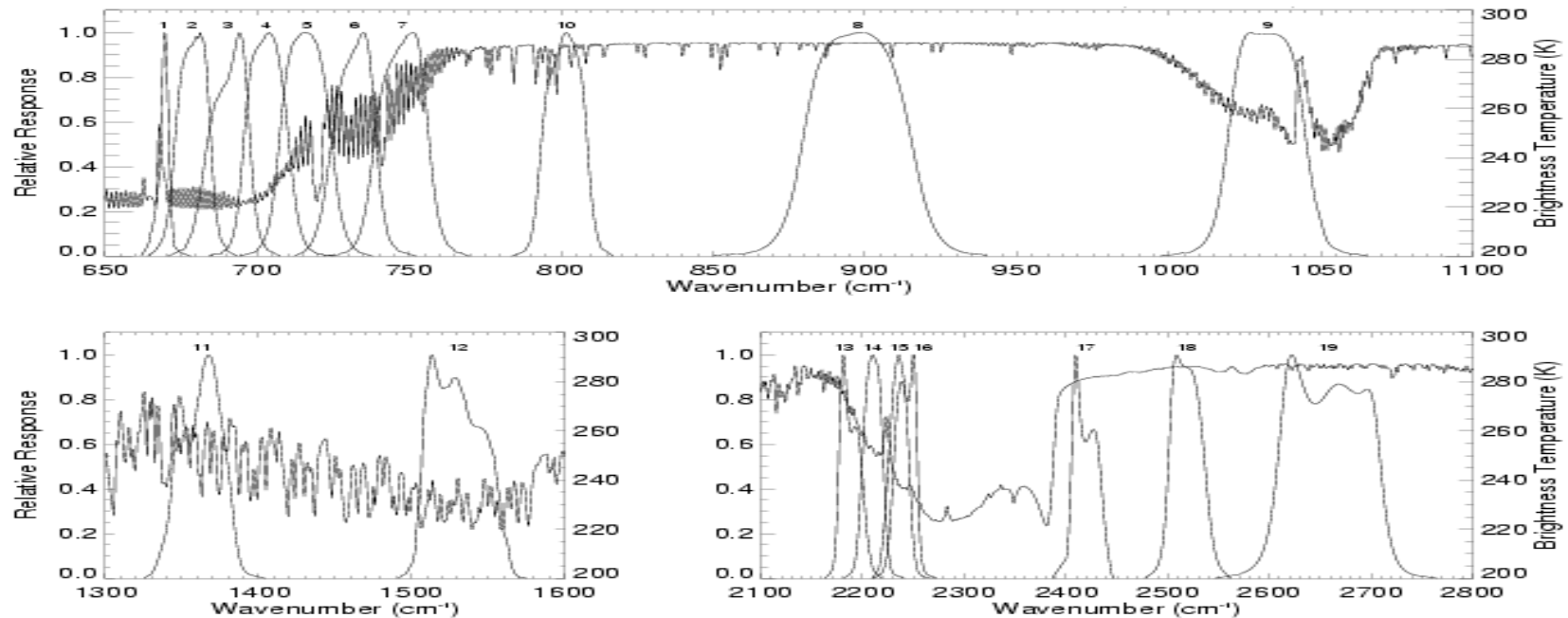




Aerosol Optical Properties -- Dust aerosols



Aerosol effects on hirs3_n17



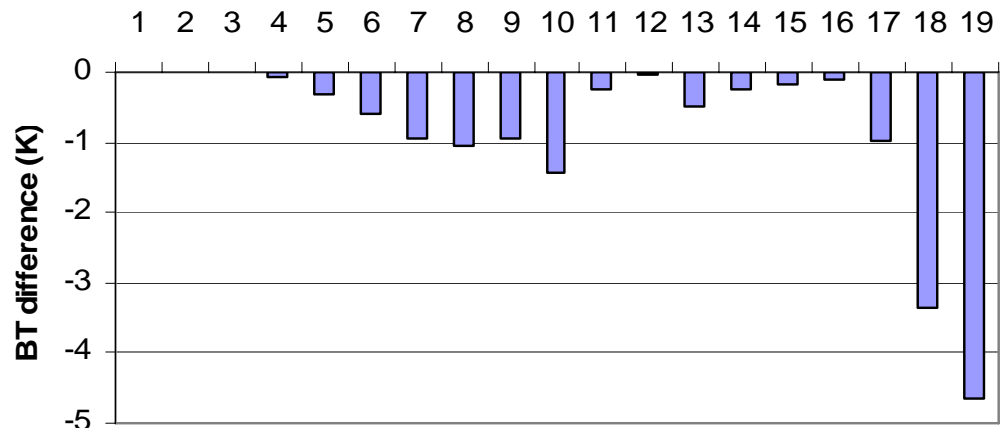
Aerosol Effect on hirs3_n17

No clouds

0.1 g/m² OC aerosol at 300 hPa

0.1 g/m² Dust aerosol at 600 hPa

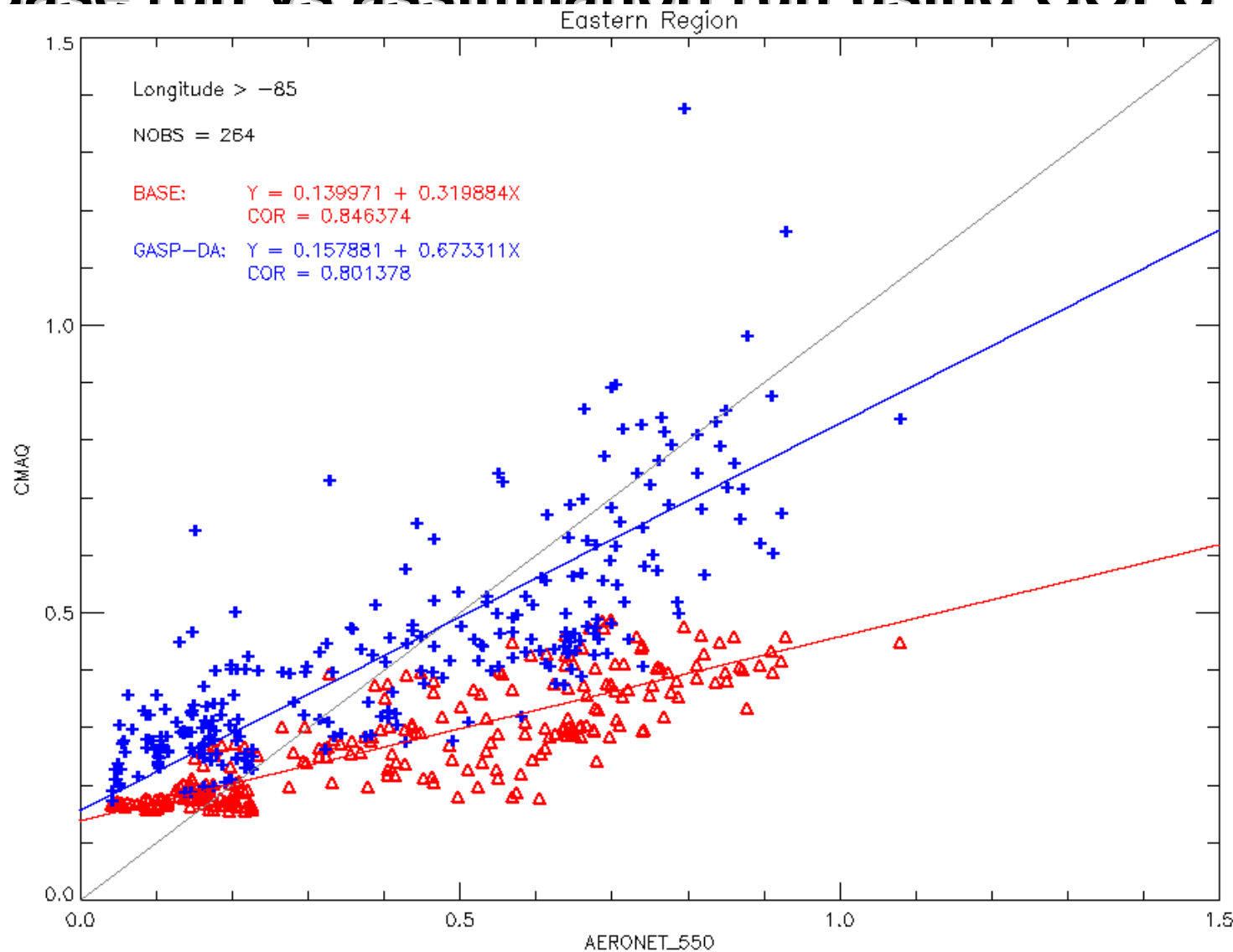
0.1 g/m² Dust aerosol at 650 hPa





10-day CMAQ simulations

Base run vs assimilation run using GOFS





The long-term goal

Where we stands now

Ongoing and near-future activities

Global model with explicit ozone-aerosol chemistry

Parameterized ozone chemistry and climatological aerosol scheme

Incorporate prognostic aerosols in global model

Radiance assimilation providing meteorological and chemical analyses

SBUV/2 v6 ozone assimilation; background aerosols assumed

Assimilation of multiple ozone products; aerosol module added to radiative transfer model

Provide meteorological and chemical LBCs for the regional system

Improved chemical lateral BCs are needed for regional AQ application

Off-line and Lagrangian chemistry modeling



Discussions

- Chemical data assimilation using GSI are in progress or planned. These include but are not limited to:
 - OMI total and profile ozone assimilation (G. Long, CPC)
 - MLS ozone data assimilation (I. Stajner, GSFC)
 - Regional ozone assimilation for WRF-CHEM (G. Grell, OAR-GSD)
 - Regional GOES ozone assimilation for UH-CMAQ (B. Pierce, NESDIS-CIMMS)
 - Aerosol data assimilation for CMAQ (S. Kondragunta, NESDIS-ORA)